



409 Evans Street; Suite C Greenville, NC 27858 P 252.329.0119 F 252.329.0121

ADDENDUM NUMBER ONE

Date: May 9, 2024

Re: NC Global TransPark Terminal Building Phase 3 Renovation

Kinston, North Carolina 28504

The following items supersede the bid documents dated May 2, 2024 and shall become a part of those bid documents with full force and effect, as though set forth therein. Bidders shall acknowledge receipt of this Addendum No. 1 in the appropriate place on the "Bid/Acceptance Form".

Addendum #1 includes the following items:

- 1. Table of Contents 2 pages
- 2. Advertisement For Bids 1 Page
- 3. Notice to Bidders 2 Pages
- 4. AIA Document A701 Instructions to Bidders 2 Pages
- 5. Bid Form 2 Pages
- 6. AIA Document A101 Standard Form Between Owner & Contractor 3 Pages
- 7. AIA Document A201 General Conditions of the Contract for Construction 2 Pages
- 8. AIA Document A310 Bid Bond 2 Pages
- 9. AIA Document A312 Payment Bond 2 Pages
- 10. AIA Document A312 Performance Bond 2 Pages
- 11. Guidelines for Recruitment of Minority Business Participation and Identification of Hub Certified / Minority Business Participation Forms 14 Pages
- 12. Summary 1 Page

Changes/Clarifications to the following Specification:

- 1. Specification Section "Table of Contents"
 - a) Pages 1 2: Added revision dates to all sections revised and included in this Addendum.
 - b) Page 1: Removed previous MBE forms and included updated MBE forms.
- Specification Section "00 11 13 Advertisement for Bids"
 - a) Page 1: Updated bid delivery address & Owner's address.
- 3. Specification Section "00 11 16 Notice To Bidders"
 - a) Pages 1 2: Updated address for delivery of bids, Owner, Pre-Bid Meeting, and Bid Opening.
 - b) Page 1: Updated location of plan rooms where plans have been uploaded.
- 4. Specification Section "00 21 14 AIA Document A701 Instructions to Bidders"
 - a) Page 1: Updated Owner and project address.
 - b) Page 1 of Additions & Deletions Section: Updated Owner and project address.

MHAworks PAGE 1

- 5. Specification Section "00 41 00 Bid Form"
 - a) Page 1: Updated bid delivery address and address of project.
 - b) Page 2: Updated project duration to match other bids forms with one hundred (120) days.
- 6. Specification Section "00 52 10 AIA Document A101 Agreement Between Owner & GC"
 - a) Page 1: Updated project Owner and project address.
 - b) Page 6: Updated Owner's Address.
 - c) Page 1 of Additions & Deletions Section: Updated Owner and project address.
- 7. Specification Section "00 72 10 AIA Document A201 General Conditions of the Contract for Construction"
 - a) Page 1: Updated project Owner and project address.
 - b) Page 1 of Additions & Deletions Section: Updated Owner and project address.
- 8. Specification Section "00 74 00 AIA Document A310 Bid Bond"
 - a) Page 1: Updated project Owner and project address.
 - b) Page 1 of Additions & Deletions Section: Updated Owner and project address.
- 9. Specification Section "00 76 00 AIA Document A312 Payment Bond"
 - a) Page 1: Updated project Owner and project address.
 - b) Page 1 of Additions & Deletions Section: Updated Owner and project address.
- 10. Specification Section "00 78 00 AIA Document A312 Performance Bond"
 - a) Page 1: Updated project Owner and project address.
 - b) Page 1 of Additions & Deletions Section: Updated Owner and project address.
- 11. Specification Section "00 80 00 NC DOT Division of Aviation DBE/WBE/MBE guidance, AV-508 Pre-Bid DBE/WBE/MBE Goal Notification & Certification, and AV-509/AV-510 DBE/WBE/MBE/HUB Vendor Commitments/Awards/Payments"
 - a) NC DOT Aviation MBE forms removed from specification manual.
- 12. Specification Section "00 81 00 Guidelines for Recruitment of Minority Business Participation and Identification of HUB Certified / Minority Business Participation Forms"
 - a) Pages 1 15: Added to Table of Contents and specification manual as referenced in "Notice to Bidders".
- 12. Specification Section "01 10 00 Summary"
 - b) Page 1: Updated project Owner address.
 - c) Page 1: Updated project duration to match other bid forms with one hundred (120) days.

MHAworks PAGE 2





TABLE OF CONTENTS

Bidding & 0	Contracting Requirements	Pages	Revised
00 01 15	List of Drawing Sheets	1	
00 11 13	Advertisement for Bids	1	5/9/2024
00 11 16	Notice to Bidders	2	
00 21 14	AIA Document A701 – Instructions to Bidders	11	5/9/2024
00 41 00	Bid Form	2	
00 50 00	Contracting Requirements & Supplements	1	
00 52 10	AIA Document A101 – Agreement Between Owner & GC	8	5/9/2024
00 72 10	AIA Document A201 – General Conditions	45	5/9/2024
00 74 00	AIA Document A310 – 'Bid Bond'	2	5/9/2024
00 76 00	AIA Document A312 – 'Payment Bond'	4	5/9/2024
00 78 00	AIA Document A312 – 'Performance Bond'	4	5/9/2024
00 80 00	NC DOT – Division of Aviation DBE/WBE/MBE Guidance AV-508 Pre Bid DBE/MBE/WBE Goal Notification & Certification	4	5/9/2024 5/9/2024
	AV-509/AV-510 DBE/MBE/WBE/HUB Vendor Commitments/Awards/Payme	ents 1	5/9/2024
00 81 00	Guidelines for Recruitment and Selection of Minority Business Participation and Identification of HUB Certified / Minority Business Participation Forms	15	5/9/2024
09 90 00	State of North Carolina Sales and Use Tax Report	2	
09 90 01	Trinity Environmental Hazardous Materials Survey	19	

Division	Section Title	Pages	Revised
		_	
	GENERAL REQUIREMENTS	0	E/0/0004
01 10 00 01 25 00	SUMMARY SUBSTITUTION PROCEDURES	2 4	5/9/2024
01 26 00	CONTRACT MODIFICATION PROCEDURES	3	
01 29 00	PAYMENT PROCEDURES	5	
01 31 00	PROJECT MANAGEMENT AND COORDINATION	8	
01 32 00	CONSTRUCTION PROGRESS DOCUMENTATION	8	
01 33 00	SUBMITTAL PROCEDURES	10	
01 40 00	QUALITY REQUIREMENTS	8	
01 42 00	REFERENCES	7	
01 50 00	TEMPORARY FACILITIES AND CONTROLS	10	
01 60 00	PRODUCT REQUIREMENTS	5	
01 73 00	EXECUTION CONTRACT MANAGEMENT AND BIODOCAL	9	
01 74 19	CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL	5	
01 77 00	CLOSEOUT PROCEDURES	5 7	
01 78 23 01 78 39	OPERATION AND MAINTENANCE DATA PROJECT RECORD DOCUMENTS	4	
01 78 39	DEMONSTRATION AND TRAINING	4 5	
017900	DEMONSTRATION AND TRAINING	3	
	EXISTING CONDITIONS		
02 41 20	SELECTIVE BUILDING DEMOLITION	7	
	CONCRETE		
03 30 00	CAST-IN-PLACE CONCRETE	18	
DIVISION 04			
04 20 00	UNIT MASONRY	20	
DIVISION 05			
05 12 00	STRUCTURAL STEEL FRAMING	9	
05 40 00	COLD-FORMED METAL FRAMING	8	
05 50 00	MISCELLANEOUS METALS	11	
05 51 13	METAL PAN STAIRS	8	
	WOOD, PLASTICS, AND COMPOSITES	_	
06 10 00	ROUGH CARPENTRY	8	
06 16 00	SHEATHING	6	
06 20 00	CARPENTRY PLASTIC LAMINATE CLAP ARCHITECTURAL CARINETS	10	
06 41 16 06 82 00	PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS GLASS FIBER REINFORCED WALL PANELING	6 4	
UU 02 UU	GLAGO FIDER REINFORGED WALL PANELING	4	
DIVIDION :-	THERMAL AND MOISTURE PROFESSION		
	THERMAL AND MOISTURE PROTECTION	4	
07 21 00 07 92 00	THERMAL INSULATION JOINT SEALANTS	4 6	
01 32 00	JOHN SEALANIS	U	

SECTION 00 11 13 - ADVERTISEMENT FOR BIDS

FROM:

1.01 THE OWNER (HEREINAFTER REFERRED TO AS OWNER):

- A. NC Global Transpark Authority
- B. Address:

NC Global Transpark Terminal Building 2780 Jetport Road Kinston, North Carolina 28504

1.02 AND THE ARCHITECT (HEREINAFTER REFERRED TO AS ARCHITECT):

- A. MHAworks, PA
- B. Address:

409 Evans Street Suite C Greenville, North Carolina 27858

1.04 TO: POTENTIAL BIDDERS

A. Your firm is invited to submit an offer under seal to Owner for construction of a facility located at: NC Global Transpark Terminal Building

2780 Jetport Road

Kinston, North Carolina 28504

Before 2:00 pm local standard time on Thursday, May 30th, 2024, for:

- B. Project: NC GTP Terminal Building Renovations: Phase 3
- C. Owner's Project Number: 18056C
- D. Bid Documents for a Stipulated Sum contract may be obtained from the office of the Design Professional free of charge upon receipt of a refundable deposit, by cash, in the amount of \$150 for one set.
- E. Bidders will be required to provide Bid security in the form of a Bid Bond of a sum no less than five (5%) percent of the Bid Amount.
- F. Refer to other bidding requirements described in Document 00 21 13 Instructions to Bidders.
- G. Submit your offer on the Bid Form provided. Bidders may supplement this form as appropriate.
- H. Your offer will be required to be submitted under a condition of irrevocability for a period of 30 days after submission.
- I. The Owner reserves the right to accept or reject any or all offers.

1.05 PRE-BID MEETING

- A. A pre-bid meeting will be held for all interested bidders to tour the project site, address project specific questions, issues, and bidding procedures.
- B. Meeting will be held on **Tuesday, May 14, 2024, 11:00 am** at the NC Global Transpark Terminal Building, 2860 Jetport Road, Kinston, NC 28504.

1.06 SIGNATURE

- A. For: NC Global Transpark Authority
- B. By: Preston Hunter, Executive Director

END OF SECTION 00 11 13

NC GTP Terminal Building Renovations: Phase 3

NOTICE TO BIDDERS

Sealed proposals will be received by the NC Global Transpark in Kinston, NC, in the office of NC Global Transpark located in the NC Global Transpark Terminal Building, 2780 Jetport Road, Kinston, NC 28504 up to 2:00 pm, Thursday, May 30, 2024, and immediately thereafter publicly opened and read for the furnishing of labor, material and equipment entering into the construction of

NC GTP Terminal Building Renovations: Phase 3

Project shall consist of interior renovations to approximately 8,820sqft of offices and exterior storefront systems at the Terminal Building.

Bids will be received for Single Prime. All proposals shall be lump sum.

Pre-Bid Meeting

A pre-bid meeting will be held for all interested bidders 11:00 AM, Tuesday, May 14, 2024, at the NC Global Transpark located in the NC Global Transpark Terminal Building, 2780 Jetport Road, Kinston, NC 28504. The meeting will address project specific questions, issues, bidding procedures and bid forms.

Complete plans, specifications and contract documents will be open for inspection in the offices of MHAworks, 409 Evans Street, Suite C, Greenville, NC 27858 during normal office hours and in the plan rooms of:

ECU HUB Plan Room - Greenville, NC 27858, Donna Lilley - lilleyd@ecu.edu

NCDOA HUB Office - Raleigh, NC 27603 - huboffice@doa.nc.gov

Triangle Minority Contractors' Resource Center – Durham, NC 27701, Wilburn Oziogu – wilburnoziogu@gmail.com

or may be obtained by those qualified as prime bidders, upon deposit of **one hundred and fifty** dollars (**\$150.00**) in cash or certified check. The full plan deposit will be returned to those bidders provided all documents are returned in good, usable condition within ten (10) days after the bid date.

NOTE: The bidder shall include with the bid proposal the form *Identification of Minority Business Participation* identifying the minority business participation it will use on the project and shall include either *Affidavit A* or *Affidavit B* as applicable. Forms and instructions are included within the Proposal Form in the bid documents. Failure to complete these forms is grounds for rejection of the bid. (GS143-128.2c Effective 1/1/2002.)

All contractors are hereby notified that they must have proper license as required under the state laws governing their respective trades.

General contractors are notified that Chapter 87, Article 1, General Statutes of North Carolina, will be observed in receiving and awarding general contracts. General contractors submitting bids on this project must have license classification for **Unlimited** and **Building**.

NOTE--SINGLE PRIME CONTRACTS: Under GS 87-1, a contractor that superintends or manages construction of any building, highway, public utility, grading, structure or improvement shall be deemed a "general contractor" and shall be so licensed. Therefore a single prime project that involves other trades will require the single prime contractor to hold a proper General Contractors license. GS87-1.1- Rules .0210

Each proposal shall be accompanied by a cash deposit or a certified check drawn on some bank or trust company, insured by the Federal Deposit Insurance Corporation, of an amount equal to not less than five percent (5%) of the proposal, or in lieu thereof a bidder may offer a bid bond of five percent (5%) of the bid executed by a surety company licensed under the laws of North Carolina to execute the contract in accordance with the bid bond. Said deposit shall be retained by the owner as liquidated damages in event of failure of the successful bidder to execute the contract within ten days after the award or to give satisfactory surety as required by law.

A performance bond and a payment bond will be required for one hundred percent (100%) of the contract price.

Payment will be made based on ninety-five percent (95%) of monthly estimates and final payment made upon completion and acceptance of work.

No bid may be withdrawn after the scheduled closing time for the receipt of bids for a period of 30 days.

The NC Global Transpark encourages participation of HUB Firms.

The owner reserves the right to reject any or all bids and to waive informalities.

Designer:

John Miliacca, AIA
Project Architect
MHAworks, PA
409 Evans Street, Suite C
Greenville, NC 27858

Owner:

Mr. Preston Hunter Executive Director NC Global Transpark 2780 Jetport Road Kinston, NC 28504

Instructions to Bidders

for the following Project: (Name, location, and detailed description)

NC Global Transpark Kinston Regional Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

THE OWNER:

(Name, legal status, address, and other information)

North Carolina Department of Transportation Global Transpark Authority Kinston Regional Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

THE ARCHITECT:

(Name, legal status, address, and other information)

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858

TABLE OF ARTICLES

- 1 DEFINITIONS
- 2 BIDDER'S REPRESENTATIONS
- 3 BIDDING DOCUMENTS
- 4 BIDDING PROCEDURES
- 5 CONSIDERATION OF BIDS
- 6 POST-BID INFORMATION
- 7 PERFORMANCE BOND AND PAYMENT BOND
- 8 ENUMERATION OF THE PROPOSED CONTRACT DOCUMENTS

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

FEDERAL, STATE, AND LOCAL LAWS MAY IMPOSE REQUIREMENTS ON PUBLIC PROCUREMENT CONTRACTS. CONSULT LOCAL AUTHORITIES OR AN ATTORNEY TO VERIFY REQUIREMENTS APPLICABLE TO THIS PROCUREMENT BEFORE COMPLETING THIS FORM.

It is intended that AIA Document G612[™]–2017, Owner's Instructions to the Architect, Parts A and B will be completed prior to using this document.

Additions and Deletions Report for

AIA® Document A701® - 2018

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:07:15 ET on 05/09/2024.

PAGE 1

NC Global Transpark
Kinston Regional Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504

•••

North Carolina Department of Transportation Global Transpark Authority Kinston Regional Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

...

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858 PAGE 4

By Email.

...

§ 4.1.9 Bidder shall identify with their bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit listing good faith efforts or an affidavit indicating work under contract will be self-performed, as required by G.S. 143-128.2(c) and G.S. 143-128.2(f). Failure to comply with these requirements is grounds for rejection of the bid.

...

<u>Utilize AIA Form A310</u> in an amount equal to five (5) percent of the submitted bid. **PAGE 5**

Original Bid Documents shall be submitted by the date and time at the location as indicated in the Advertisement.

SECTION 00 41 00 - BID FORM

THE PROJECT AND THE PARTIES

1.01 TO:

A. NC Department of Transportation, Global Transpark Authority (Owner)
 Terminal Building
 2780 Jetport Road
 Kinston, North Carolina 28504

1.02 FOR:

- A. Project: NCDOT GTP Kinston Jetport Terminal Building Renovation: Phase 3
- B. Owner's Project Number: 18056C

NC Global Transpark Terminal Building 2780 Jetport Road Kinston, North Carolina 28504

1.03 DATE: _______ (BIDDER TO ENTER DATE)

1.04 SUBMITTED BY: (BIDDER TO ENTER NAME AND ADDRESS)

A. Bidder's Full Name ______

1. Address

2. City, State, Zip

1.05 OFFER

A. Having examined the Place of The Work and all matters referred to in the Instructions to Bidders and the Contract Documents prepared by MHAworks, PA for the above mentioned project, we, the undersigned, hereby offer to enter into a Contract to perform the Work for the Sum of:

В.			
			dollars
	(\$), in lawful money of the United States of A	hmerica.

- C. We have included the required security deposit as required by the Instruction to Bidders.
- D. We have included the required performance assurance bonds in the Bid Amount as required by the Instructions to Bidders.
- E. All applicable federal taxes are included and State of North Carolina taxes are included in the Bid Sum.

1.06 ACCEPTANCE

- A. This offer shall be open to acceptance and is irrevocable for sixty days from the bid closing date.
- B. If this bid is accepted by Owner within the time period stated above, we will:
 - 1. Execute the Agreement within seven days of receipt of Notice of Award.
 - 2. Furnish the required bonds within seven days of receipt of Notice of Award.
 - 3. Commence work within seven days after written Notice to Proceed of this bid.
- C. In the event our bid is not accepted within the time stated above, the required security deposit shall be returned to the undersigned, in accordance with the provisions of the Instructions to Bidders; unless a mutually satisfactory arrangement is made for its retention and validity for an extended period of time.

1.07 CONTRACT TIME

A. If this Bid is accepted, we will:

H. (Authorized signing officer, Title)

B. Complete the Work in 120 calendar days from Notice to Proceed.

1.08 CHANGES TO THE WORK

- A. When Architect establishes that the method of valuation for Changes in the Work will be net cost plus a percentage fee in accordance with General Conditions, our percentage fee will be:
 - 1. ten (10%) percent overhead and profit on the net cost of our own Work;
 - 2. five (5%) percent on the cost of work done by any Subcontractor.
- B. On work deleted from the Contract, our credit to Owner shall be Architect-approved net cost plus five (5%) percent of the overhead and profit percentage noted above.

1.09 ADDENDA

1.10

AD	DENDA
A.	The following Addenda have been received. The modifications to the Bid Documents noted below have been considered and all costs are included in the Bid Sum.
	1. Addendum # Dated
	2. Addendum # Dated
	3. Addendum # Dated
	4. Addendum # Dated
ВІІ А. В.	FORM SIGNATURE(S) The Corporate Seal of
C.	(Bidder - print the full name of your firm)
D. E.	was hereunto affixed in the presence of:
F.	(Authorized signing officer, Title)
G.	(Seal)

END OF SECTION 00 41 00



Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the day of in the year 2023 (In words, indicate day, month and year.)

BETWEEN the Owner:

(Name, legal status, address and other information)

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

and the Contractor:

(Name, legal status, address and other information)

for the following Project: (Name, location and detailed description)

NC Global Transpark Kinston Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

The Architect:

(Name, legal status, address and other information)

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858

The Owner and Contractor agree as follows.

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101®–2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201®–2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

User Notes:

(961882999)

§ 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows: (Check the appropriate box.)

[X]	Arbitration pursuant to Section 15.4 of AIA Document A201–2017
[]	Litigation in a court of competent jurisdiction
[]	Other (Specify)

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.1.1 If the Contract is terminated for the Owner's convenience in accordance with Article 14 of AIA Document A201–2017, then the Owner shall pay the Contractor a termination fee as follows: (Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner's convenience.)

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-2017.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

(Name, address, email address, and other information)

Mr. Preston Hunter Executive Director NC Global Transpark 2780 Jetport Road Kinston, NC 278504

§ 8.3 The Contractor's representative:

(Name, address, email address, and other information)

User Notes:

Init.

Additions and Deletions Report for

AIA® Document A101® – 2017

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:06:29 ET on 05/09/2024.

PAGE 1

AGREEMENT made as of the day of in the year 2023

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

NC Global Transpark
Kinston Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858 PAGE 2

User Notes:

[X] A date set forth in a notice to proceed issued by the Owner. **PAGE 3**

[X] Not later than one hundred twenty (120) calendar days from the date of commencement of the Work.

Five-Hundred (\$500.00) Dollars per day for every day in excess of the specified contract duration. PAGE 4

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the 25 day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the 15 day of the following month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than thirty (30) days after the Architect receives the Application for Payment.

Additions and Deletions Report for AIA Document A101 – 2017. Copyright © 1915, 1918, 1925, 1937, 1951, 1958, 1961, 1963, 1967, 1974, 1977, 1987, 1991, 1997, 2007 and 2017. All rights reserved. "The American Institute of Architects," "American Institute of Architects," "AlA," the AIA Logo, and "AIA Contract Documents" are trademarks of The American Institute of Architects. This document was produced at 09:06:29 ET on 05/09/2024 under Order No.2114466191 which expires on 09/18/2024, is not for resale, is licensed for one-time use only, and may only be used in accordance with the AIA Contract Documents® Terms of Service. To report copyright violations, e-mail docinfo@aiacontracts.com.

1



General Conditions of the Contract for Construction

for the following PROJECT:

(Name and location or address)

NC Global Transpark Kinston Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

THE OWNER:

(Name, legal status and address)

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

THE ARCHITECT:

(Name, legal status and address)

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858

TABLE OF ARTICLES

- 1 GENERAL PROVISIONS
- 2 OWNER
- 3 CONTRACTOR
- 4 ARCHITECT
- 5 SUBCONTRACTORS
- 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
- 7 CHANGES IN THE WORK
- 8 TIME

User Notes:

- 9 PAYMENTS AND COMPLETION
- 10 PROTECTION OF PERSONS AND PROPERTY
- 11 INSURANCE AND BONDS
- 12 UNCOVERING AND CORRECTION OF WORK

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

Additions and Deletions Report for

AIA® Document A201® - 2017

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:06:04 ET on 05/09/2024.

PAGE 1

NC Global Transpark
Kinston Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

MHAworks, PA 409 Evans Street; Suite C Greenville, NC 27858 PAGE 11

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203TM 2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203TM 2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202TM 2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

§ 1.8 DELETED

PAGE 13

§ 3.1.4 The non-discrimination clause contained in Section 202 (Federal) Executive Order 11246, as amended by Executive Order 11375, relative to equal employment opportunity for all persons without regard to race, color, religion, sex or national origin, and the implementing rules and regulations prescribed by the secretary of Labor, are incorporated herein. The contractor(s) agree not to discriminate against any employee or applicant for employment

Additions and Deletions Report for AIA Document A201 – 2017. Copyright @ 1911, 1915, 1918, 1925, 1937, 1951, 1958, 1961, 1963, 1966, 1970, 1976, 1987, 1997, 2007 and 2017. All rights reserved. "The American Institute of Architects," "American Institute of Architects," "Alla," the AIA Logo, and "AIA Contract Documents" are trademarks of The American Institute of Architects. This document was produced at 09:06:04 ET on 05/09/2024 under Order No.2114466191 which expires on 09/18/2024, is not for resale, is licensed for one-time use only, and may only be used in accordance with the AIA Contract Documents® Terms of Service. To report copyright violations, e-mail docinfo@alacontracts.com.

1

Bid Bond

CONTRACTOR:

(Name, legal status and address)

SURETY:

(Name, legal status and principal place of business)

OWNER:

(Name, legal status and address) North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

BOND AMOUNT: \$

PROJECT:

(Name, location or address, and Project number, if any) NC Global Transpark Kinston Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

User Notes:

Additions and Deletions Report for

AIA® Document A310™ - 2010

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:06:16 ET on 05/09/2024.

PAGE 1

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

NC Global Transpark
Kinston Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504



Payment Bond

CONTRACTOR:

(Name, legal status and address)

SURETY:

(Name, legal status and principal place of business)

OWNER:

(Name, legal status and address) North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

CONSTRUCTION CONTRACT

Date: Amount: \$ Description: (Name and location) NC Global Transpark Kinston Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

BOND

Date:

(Not earlier than Construction Contract Date)

Amount: \$

Modifications to this Bond:

CONTRACTOR AS PRINCIPAL

None

Company:

(Corporate Seal)

SURETY (Corporate Seal) Company:

See Section 18

Signature:

Signature:

Name and

User Notes:

Name and

Title:

(Any additional signatures appear on the last page of this Payment Bond.)

(FOR INFORMATION ONLY - Name, address and telephone)

AGENT or BROKER:

OWNER'S REPRESENTATIVE:

(Architect, Engineer or other party:)

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Additions and Deletions Report for

AIA® Document A312® - 2010

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:05:52 ET on 05/09/2024.

PAGE 1

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

NC Global Transpark
Kinston Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504

Performance Bond

CONTRACTOR:

SURETY:

(Name, legal status and address)

(Name, legal status and principal place of business)

OWNER:

(Name, legal status and address) North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

CONSTRUCTION CONTRACT

Date:

Amount: \$ Description: (Name and location) NC Global Transpark Kinston Jetport Terminal Building Phase 3 Renovations 2780 Jetport Road Kinston, NC 28504

BOND

Date:

(Not earlier than Construction Contract Date)

Amount: \$

Modifications to this Bond:

See Section 16

CONTRACTOR AS PRINCIPAL

SURETY

None

Company: (Corporate Seal)

Company:

(Corporate Seal)

Signature:

Signature:

Name and

Name and

Title:

Title:

(Any additional signatures appear on the last page of this Performance Bond.)

(FOR INFORMATION ONLY - Name, address and telephone)

AGENT or BROKER:

OWNER'S REPRESENTATIVE:

(Architect, Engineer or other party:)

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Additions and Deletions Report for

AIA® Document A312® - 2010

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:04:58 ET on 05/09/2024.

PAGE 1

North Carolina Department of Transportation Global Transpark Authority Kinston Jetport Terminal Building 2780 Jetport Road Kinston, NC 28504

NC Global Transpark
Kinston Jetport Terminal Building
Phase 3 Renovations
2780 Jetport Road
Kinston, NC 28504

GUIDELINES FOR RECRUITMENT AND SELECTION OF MINORITY BUSINESSES FOR PARTICIPATION IN STATE CONSTRUCTION CONTRACTS

In accordance with G.S. 143-128.2 (effective January 1, 2002) these guidelines establish goals for minority participation in single-prime bidding, separate-prime bidding, construction manager at risk, and alternative contracting methods, on State construction projects in the amount of \$300,000 or more. The legislation provides that the State shall have a verifiable ten percent (10%) goal for participation by minority businesses in the total value of work for each project for which a contract or contracts are awarded. These requirements are published to accomplish that end.

SECTION A: INTENT

It is the intent of these guidelines that the State of North Carolina, as awarding authority for construction projects, and the contractors and subcontractors performing the construction contracts awarded shall cooperate and in good faith do all things legal, proper and reasonable to achieve the statutory goal of ten percent (10%) for participation by minority businesses in each construction project as mandated by GS 143-128.2. Nothing in these guidelines shall be construed to require contractors or awarding authorities to award contracts or subcontracts to or to make purchases of materials or equipment from minority-business contractors or minority-business subcontractors who do not submit the lowest responsible, responsive bid or bids.

SECTION B: DEFINITIONS

- 1. <u>Minority</u> a person who is a citizen or lawful permanent resident of the United States and who is:
 - a. Black, that is, a person having origins in any of the black racial groups in Africa;
 - b. Hispanic, that is, a person of Spanish or Portuguese culture with origins in Mexico, South or Central America, or the Caribbean Islands, regardless of race;
 - c. Asian American, that is, a person having origins in any of the original peoples of the Far East, Southeast Asia and Asia, the Indian subcontinent, the Pacific Islands;
 - d. American Indian, that is, a person having origins in any of the original peoples of North America; or
 - e. Female
- 2. Minority Business means a business:
 - a. In which at least fifty-one percent (51%) is owned by one or more minority persons, or in the case of a corporation, in which at least fifty-one percent (51%) of the stock is owned by one or more minority persons or socially and economically disadvantaged individuals; and
 - b. Of which the management and daily business operations are controlled by one or more of the minority persons or socially and economically disadvantaged individuals who own it.
- 3. Socially and economically disadvantaged individual means the same as defined in 15 U.S.C. 637. "Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as a member of a group without regard to their individual qualities". "Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged".
- 4. Public Entity means State and all public subdivisions and local governmental units.
- 5. Owner The State of North Carolina, through the Agency/Institution named in the contract.
- 6. <u>Designer</u> Any person, firm, partnership, or corporation, which has contracted with the State of North Carolina to perform architectural or engineering, work.
- 7. <u>Bidder</u> Any person, firm, partnership, corporation, association, or joint venture seeking to be awarded a public contract or subcontract.

- 8. <u>Contract</u> A mutually binding legal relationship or any modification thereof obligating the seller to furnish equipment, materials or services, including construction, and obligating the buyer to pay for them.
- 9. <u>Contractor</u> Any person, firm, partnership, corporation, association, or joint venture which has contracted with the State of North Carolina to perform construction work or repair.
- 10. <u>Subcontractor</u> A firm under contract with the prime contractor or construction manager at risk for supplying materials or labor and materials and/or installation. The subcontractor may or may not provide materials in his subcontract.

SECTION C: RESPONSIBILITIES

1. Office for Historically Underutilized Businesses, Department of Administration (hereinafter referred to as HUB Office).

The HUB Office has established a program, which allows interested persons or businesses qualifying as a minority business under G.S. 143-128.2, to obtain certification in the State of North Carolina procurement system. The information provided by the minority businesses will be used by the HUB Office to:

- a. Identify those areas of work for which there are minority businesses, as requested.
- b. Make available to interested parties a list of prospective minority business contractors and subcontractors.
- c. Assist in the determination of technical assistance needed by minority business contractors.

In addition to being responsible for the certification/verification of minority businesses that want to participate in the State construction program, the HUB Office will:

- (1) Maintain a current list of minority businesses. The list shall include the areas of work in which each minority business is interested.
- (2) Inform minority businesses on how to identify and obtain contracting and subcontracting opportunities through the State Construction Office and other public entities.
- (3) Inform minority businesses of the contracting and subcontracting process for public construction building projects.
- (4) Work with the North Carolina trade and professional organizations to improve the ability of minority businesses to compete in the State construction projects.
- (5) The HUB Office also oversees the minority business program by:
 - a. Monitoring compliance with the program requirements.
 - b. Assisting in the implementation of training and technical assistance programs.
 - c. Identifying and implementing outreach efforts to increase the utilization of minority businesses.
 - d. Reporting the results of minority business utilization to the Secretary of the Department of Administration, the Governor, and the General Assembly.

2. State Construction Office

The State Construction Office will be responsible for the following:

- a. Furnish to the HUB Office a minimum of twenty-one days prior to the bid opening the following:
 - (1) Project description and location;
 - (2) Locations where bidding documents may be reviewed;
 - (3) Name of a representative of the owner who can be contacted during the advertising period to advise who the prospective bidders are;
 - (4) Date, time and location of the bid opening.
 - (5) Date, time and location of prebid conference, if scheduled.
- b. Attending scheduled prebid conference, if necessary, to clarify requirements of the general statutes regarding minority-business participation, including the bidders' responsibilities.

- c. Reviewing the apparent low bidders' statutory compliance with the requirements listed in the proposal, that must be complied with, if the bid is to be considered as responsive, prior to award of contracts. The State reserves the right to reject any or all bids and to waive informalities.
- d. Reviewing of minority business requirements at Preconstruction conference.
- e. Monitoring of contractors' compliance with minority business requirements in the contract documents during construction.
- f. Provide statistical data and required reports to the HUB Office.
- g. Resolve any protest and disputes arising after implementation of the plan, in conjunction with the HUB Office.

3. Owner

Before awarding a contract, owner shall do the following:

- a. Develop and implement a minority business participation outreach plan to identify minority businesses that can perform public building projects and to implement outreach efforts to encourage minority business participation in these projects to include education, recruitment, and interaction between minority businesses and non-minority businesses.
- b. Attend the scheduled prebid conference.
- c. At least 10 days prior to the scheduled day of bid opening, notify minority businesses that have requested notices from the public entity for public construction or repair work and minority businesses that otherwise indicated to the Office for Historically Underutilized Businesses an interest in the type of work being bid or the potential contracting opportunities listed in the proposal. The notification shall include the following:
 - 1. A description of the work for which the bid is being solicited.
 - 2. The date, time, and location where bids are to be submitted.
 - 3. The name of the individual within the owner's organization who will be available to answer questions about the project.
 - 4. Where bid documents may be reviewed.
 - 5. Any special requirements that may exist.
- d. Utilize other media, as appropriate, likely to inform potential minority businesses of the bid being sought.
- e. Maintain documentation of any contacts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- f. Review, jointly with the designer, all requirements of G.S. 143-128.2(c) and G.S. 143-128.2(f) (i.e. bidders' proposals for identification of the minority businesses that will be utilized with corresponding total dollar value of the bid and affidavit listing good faith efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce) prior to recommendation of award to the State Construction Office.
- g. Evaluate documentation to determine good faith effort has been achieved for minority business utilization prior to recommendation of award to State Construction Office.
- h. Review prime contractors' pay applications for compliance with minority business utilization commitments prior to payment.
- i. Make documentation showing evidence of implementation of Owner's responsibilities available for review by State Construction Office and HUB Office, upon request

4. Designer

Under the single-prime bidding, separate prime bidding, construction manager at risk, or alternative contracting method, the designer will:

- a. Attend the scheduled prebid conference to explain minority business requirements to the prospective bidders.
- b. Assist the owner to identify and notify prospective minority business prime and subcontractors of potential contracting opportunities.
- c. Maintain documentation of any contacts, correspondence, or conversation with minority business firms made in an attempt to meet the goals.
- d. Review jointly with the owner, all requirements of G.S. 143-128.2(c) and G.S.143-128.2(f) (i.e. bidders' proposals for identification of the minority businesses that will be utilized with

- corresponding total dollar value of the bid and affidavit listing Good Faith Efforts, or affidavit of self-performance of work, if the contractor will perform work under contract by its own workforce) prior to recommendation of award.
- e. During construction phase of the project, review "MBE Documentation for Contract Payment" (Appendix E) for compliance with minority business utilization commitments. Submit Appendix E form with monthly pay applications to the owner and forward copies to the State Construction Office.
- f. Make documentation showing evidence of implementation of Designer's responsibilities available for review by State Construction Office and HUB Office, upon request.

5. <u>Prime Contractor(s), CM at Risk, and Its First-Tier Subcontractors</u> Under the single-prime bidding, the separate-prime biding, construction manager at risk and alternative contracting methods, contractor(s) will:

- a. Attend the scheduled prebid conference.
- b. Identify or determine those work areas of a subcontract where minority businesses may have an interest in performing subcontract work.
- c. At least ten (10) days prior to the scheduled day of bid opening, notify minority businesses of potential subcontracting opportunities listed in the proposal. The notification will include the following:
 - (1) A description of the work for which the subbid is being solicited.
 - (2) The date, time and location where subbids are to be submitted.
 - The name of the individual within the company who will be available to answer questions about the project.
 - (4) Where bid documents may be reviewed.
 - (5) Any special requirements that may exist, such as insurance, licenses, bonds and financial arrangements.

If there are more than three (3) minority businesses in the general locality of the project who offer similar contracting or subcontracting services in the specific trade, the contractor(s) shall notify three (3), but may contact more, if the contractor(s) so desires.

- d. During the bidding process, comply with the contractor(s) requirements listed in the proposal for minority participation.
- e. Identify on the bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit listing good faith efforts as required by G.S. 143-128.2(c) and G.S. 143-128.2(f).
- f. Make documentation showing evidence of implementation of PM, CM-at-Risk and First-Tier Subcontractor responsibilities available for review by State Construction Office and HUB Office, upon request.
- g. Upon being named the apparent low bidder, the Bidder shall provide one of the following: (1) an affidavit (Affidavit C) that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal; (2) if the percentage is not equal to the applicable goal, then documentation of all good faith efforts taken to meet the goal. Failure to comply with these requirements is grounds for rejection of the bid and award to the next lowest responsible and responsive bidder.
- h. The contractor(s) shall identify the name(s) of minority business subcontractor(s) and corresponding dollar amount of work on the schedule of values. The schedule of values shall be provided as required in Article 31 of the General Conditions of the Contract to facilitate payments to the subcontractors.
- i. The contractor(s) shall submit with each monthly pay request(s) and final payment(s), "MBE Documentation for Contract Payment" (Appendix E), for designer's review.
- j. During the construction of a project, at any time, if it becomes necessary to replace a minority business subcontractor, immediately advise the owner, State Construction Office, and the Director of the HUB Office in writing, of the circumstances involved. The prime contractor shall make a good faith effort to replace a minority business subcontractor with another minority business subcontractor.

- k. If during the construction of a project additional subcontracting opportunities become available, make a good faith effort to solicit subbids from minority businesses.
- 1. It is the intent of these requirements apply to all contractors performing as prime contractor and first tier subcontractor under construction manager at risk on state projects.

6. Minority Business Responsibilities

While minority businesses are not required to become certified in order to participate in the State construction projects, it is recommended that they become certified and should take advantage of the appropriate technical assistance that is made available. In addition, minority businesses who are contacted by owners or bidders must respond promptly whether or not they wish to submit a bid.

SECTION 4: DISPUTE PROCEDURES

It is the policy of this state that disputes that involves a person's rights, duties or privileges, should be settled through informal procedures. To that end, minority business disputes arising under these guidelines should be resolved as governed under G.S. 143-128(g).

SECTION 5: These guidelines shall apply upon promulgation on state construction projects. Copies of these guidelines may be obtained from the Department of Administration, State Construction Office, (physical address) 301 North Wilmington Street, Suite 450, NC Education Building, Raleigh, North Carolina, 27601-2827, (mail address) 1307 Mail Service Center, Raleigh, North Carolina, 27699-1307, phone (919) 807-4100, Website: www.nc-sco.com

SECTION 6: In addition to these guidelines, there will be issued with each construction bid package provisions for contractual compliance providing minority business participation in the state construction program.

MINORITY BUSINESS CONTRACT PROVISIONS (CONSTRUCTION)

APPLICATION:

The Guidelines for Recruitment and Selection of Minority Businesses for Participation in State Construction Contracts are hereby made a part of these contract documents. These guidelines shall apply to all contractors regardless of ownership. Copies of these guidelines may be obtained from the Department of Administration, State Construction Office, (physical address) 301 North Wilmington Street, Suite 450, NC Education Building, Raleigh, North Carolina, 27601-2827, (mail address) 1307 Mail Service Center, Raleigh, North Carolina, 27699-1307, phone (919) 807-4100, Website: http://www.nc-sco.com

MINORITY BUSINESS SUBCONTRACT GOALS:

The goals for participation by minority firms as subcontractors on this project have been set at 10%.

The bidder must identify on its bid, the minority businesses that will be utilized on the project with corresponding total dollar value of the bid and affidavit (Affidavit A) listing good faith efforts <u>or</u> affidavit (Affidavit B) of self-performance of work, if the bidder will perform work under contract by its own workforce, as required by G.S. 143-128.2(c) and G.S. 143-128.2(f).

The lowest responsible, responsive bidder must provide Affidavit C, that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the applicable goal.

OR

Provide Affidavit D, that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, with documentation of Good Faith Effort, if the percentage is not equal to the applicable goal.

OR

Provide Affidavit B, which includes sufficient information for the State to determine that the bidder does not customarily subcontract work on this type project.

The above information must be provided as required. Failure to submit these documents is grounds for rejection of the bid.

MINIMUM COMPLIANCE REQUIREMENTS:

All written statements, affidavits or intentions made by the Bidder shall become a part of the agreement between the Contractor and the State for performance of this contract. Failure to comply with any of these statements, affidavits or intentions, or with the minority business Guidelines shall constitute a breach of the contract. A finding by the State that any information submitted either prior to award of the contract or during the performance of the contract is inaccurate, false or incomplete, shall also constitute a breach of the contract. Any such breach may result in termination of the contract in accordance with the termination provisions contained in the contract. It shall be solely at the option of the State whether to terminate the contract for breach.

In determining whether a contractor has made Good Faith Efforts, the State will evaluate all efforts made by the Contractor and will determine compliance in regard to quantity, intensity, and results of these efforts. Good Faith Efforts include:

- (1) Contacting minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor or available on State or local government maintained lists at least 10 days before the bid or proposal date and notifying them of the nature and scope of the work to be performed.
- (2) Making the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bid or proposals are due.
- (3) Breaking down or combining elements of work into economically feasible units to facilitate minority participation.
- (4) Working with minority trade, community, or contractor organizations identified by the Office for Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- (5) Attending any prebid meetings scheduled by the public owner.
- (6) Providing assistance in getting required bonding or insurance or providing alternatives to bonding or insurance for subcontractors.
- (7) Negotiating in good faith with interested minority businesses and not rejecting them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- (8) Providing assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisting minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- (9) Negotiating joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- (10) Providing quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

APPENDIX E

MBE DOCUMENTATION FOR CONTRACT PAYMENTS

Prime Contractor/Architec	t:			
Address & Phone:				
Project Name:				
Pay Application #:		Period:		
The following is a list of p mentioned period.	ayments made to	Minority Business	Enterprises on this pr	roject for the abov
MBE FIRM NAME	* INDICATE TYPE OF MBE	AMOUNT PAID THIS MONTH	TOTAL PAYMENTS TO DATE	TOTAL AMOUNT COMMITTED
*Minority categories: American Indian (I), F				
Date:	_ Approved/Ce	ertified By:	N	ame
			Т	itle
			Sio	 nature

SUBMIT WITH EACH PAY REQUEST & FINAL PAYMENT

Identification of HUB Certified/ Minority Business Participation

irm Name, Address and Phone #	Work Type	*Minority Category	**HUB Certified
			(Y/N)

The total value of minority business contracting will be (\$)_____.

^{**} HUB Certification with the state HUB Office required to be counted toward state participation goals.

Attach to Bid Attach to Bid

State of North Carolina AFFIDAVIT A - Listing of Good Faith Efforts

County of
(Name of Bidder)
Affidavit of
I have made a good faith effort to comply under the following areas checked:
Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive. (1 NC Administrative Code 30 I.0101)
1 – (10 pts) Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
2(10 pts) Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
3 – (15 pts) Broken down or combined elements of work into economically feasible units to facilitate minority participation.
4 – (10 pts) Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
5 – (10 pts) Attended prebid meetings scheduled by the public owner.
☐ 6 – (20 pts) Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
7 – (15 pts) Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
8 – (25 pts) Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
9 – (20 pts) Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
10 - (20 pts) Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.
The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.
The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.
Date:Name of Authorized Officer:
Signature:
Title:
State of, County of
SEAL Subscribed and sworn to before me thisday of20
My commission expires

Attach to Bid Attach to Bid

State of North Carolina --AFFIDAVIT B-- Intent to Perform Contract with Own Workforce.

County of	with <u>own</u> workloice.
Affidavit of	
(Nar I hereby certify that it is our intent to perform 100	ne of Bidder) % of the work required for the
	contract.
(Name of Project)	
In making this certification, the Bidder states that of this type project, and normally performs and helements of the work on this project with his/her	
	rmation or documentation requested by the owner in ees to make a Good Faith Effort to utilize minority
The undersigned hereby certifies that he or she l Bidder to the commitments herein contained.	has read this certification and is authorized to bind the
Date:Name of Authorized Officer:_	
Signature:	
SEAL Title:	
State of , County of	
State of, County of Subscribed and sworn to before me this	day of20
Notary Public	
My commission expires	

State of Nort	t with bid Do not subr h Carolina - A HUB Certified/M	FFIDAVI	TC-P	ortion of the V	
	o be submitted only	by the appa	arent lowes	t responsible, res	ponsive bidder.)
128.2(g) and 128.4(a bidder must complet	e provided by the app	or greater tha	<u>n 10%</u> of the	e bidders total cont	ract price, then the
Affidavit of				I do hereb	y certify that on the
	(Nan	ne of Bidder)			
Project ID#	(Project N		mount of Bid		
I will expend a minin enterprises. Minority	num of% o y businesses will be e ional services. Such Attach additional shee	of the total do employed as work will be	llar amount construction	of the contract with subcontractors, ve	endors, suppliers or
Name and Phone N		*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value
	Black, African American Female (F) Socia with the state HUB Of	ally and Econo	mically Disad	vantaged (D)	.,
work listed in this sc	128.2(d), the unders hedule conditional up institute a breach of t	on execution			
	reby certifies that he ne bidder to the comn			s of this commitme	nt and is
Date:N	Name of Authorized C	Officer:			
	Sig	nature:			
SEAL	J				
SEAL	State of	(County of		
	Subscribed and swo Notary Public	rn to before m	e this	_day of20_	<u></u>

My commission expires_____

MBForms 2002-Revised July 2010

State of North Carolina

AFFIDAVIT D - Good Faith Efforts

County of				
(Note this form is to be submitted		apparent lo	west responsible, res	ponsive bidder.)
If the goal of 10% participation by provide the following documentation				the Bidder shall
Affidavit of			I do hereb	y certify that on the
Affidavit of	(Name of Bidde	r)		,
Project ID#	Project Name)	_Amount o	f Bid \$	
I will expend a minimum of	nority businesse professional ser	s will be em vices. Suc	ployed as construction	subcontractors,
Name and Phone Number	*Minority Category	**HUB Certified Y/N	Work Description	Dollar Value

Examples of documentation that <u>may</u> be required to demonstrate the Bidder's good faith efforts to meet the goals set forth in these provisions include, but are not necessarily limited to, the following:

- A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- B. Copies of quotes or responses received from each firm responding to the solicitation.
- C. A telephone log of follow-up calls to each firm sent a solicitation.
- D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- F. Copy of pre-bid roster
- G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- H. Letter detailing reasons for rejection of minority business due to lack of qualification.
- I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

^{*}Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

^{**} HUB Certification with the state HUB Office required to be counted toward state participation goals.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

_Name of Authorized Officer:		_	
Signature:			
Title:		_	
Subscribed and sworn to before mo	e thisday of	•	
	Signature:	State of, County of Subscribed and sworn to before me thisday of Notary Public	Signature: Title: State of, County of Subscribed and sworn to before me thisday of20 Notary Public

Section 01 10 00 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Project information.
 - 2. Work covered by Contract Documents.
 - 3. Owner-furnished products.
 - 4. Contractor-furnished, Owner-installed products.
 - 5. Access to site.
 - 6. Specification and drawing conventions.
 - 7. Miscellaneous provisions.
- B. Related Requirements:
 - 1. Section 01 50 00 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.

1.3 PROJECT INFORMATION

- A. Project Identification: North Carolina Global Transpark Terminal Building Renovations: Phase 3.
 - 1. Project Location: **Kinston, NC.**
- B. Owner: North Carolina Global Transpark Authority; 2780 Jetport Road; Kinson, NC 28504;
 - Owner's Representative: Preston Hunter.
- C. Architect: MHAworks, PA; 409 Evans Street, Suite C; Greenville, NC 27858; 252.329.0119.
- D. Architect's Consultants: The Architect has retained the following design professionals who have prepared designated portions of the Contract Documents:
 - 1. Structural Engineering: RPA Engineering, Inc.
 - 2. Fire Protection, Plumbing, Mechanical, & Electrical Engineering: Engineering Source

1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and consists of the following:
 - 1. Renovate approximately 8,820sqft of the existing NC Global Transpark Terminal Building.
 - 2. Work will be constructed in a single phase.
 - 3. Work shall commence upon the Notice to Proceed and shall be fully complete within 120 calendar days.
- B. Type of Contract:
 - 1. Project will be constructed under a single prime contract.